

GENERAL ASSEMBLY OF NORTH CAROLINA
SESSION 2019

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HOUSE BILL 1053
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Short Title: PED/Military OL & Audiology Interstate Compct.

(Public)

Sponsors:

Referred to:

April 30, 2020

A BILL TO BE ENTITLED
AN ACT TO EXPEDITE OCCUPATIONAL LICENSURE FOR MILITARY SPOUSES,
PUBLICIZE LICENSURE INFORMATION, REPORT DATA REGARDING
APPLICANTS WHO ARE MILITARY SPOUSES AND APPLICANTS THAT HAVE
MILITARY TRAINING, AS RECOMMENDED BY THE JOINT LEGISLATIVE
PROGRAM EVALUATION OVERSIGHT COMMITTEE AND TO ESTABLISH THE
INTERSTATE COMPACT FOR THE PRACTICE OF AUDIOLOGY AND SPEECH
PATHOLOGY.

The General Assembly of North Carolina enacts:

PART I. MILITARY SPOUSE OCCUPATIONAL LICENSURE

SECTION 1. Chapter 93B of the General Statutes reads as rewritten:

"Chapter 93B.

Occupational Licensing Boards.

"Article 1.

"Occupational Licensing Boards.

...."

SECTION 2. G.S. 93B-15.1 reads as rewritten:

"§ 93B-15.1. Licensure for individuals with military training and experience; proficiency examination; licensure by endorsement for military spouses; temporary license.

...

(a1) No later than 30 days following receipt of an ~~application~~, application from a military-trained applicant, an occupational licensing board shall notify an applicant when the applicant's military training or experience does not satisfy the requirements for licensure, certification, or registration and shall specify the criteria or requirements that the board determined that the applicant failed to meet and the basis for that determination. If a military-trained applicant has a pending complaint under subdivision (3) of subsection (a) of this section, an occupational licensing board shall notify the applicant no later than 30 days following the board receiving written notice of the disposition of the pending complaint.

...

(b1) No later than 30 days following receipt of an application from a military spouse, an occupational licensing board shall notify an applicant when the applicant's training or experience does not satisfy the requirements for licensure, certification, or registration and shall specify the criteria or requirements that the board determined that the applicant failed to meet and the basis for that determination. If an applicant who is a military spouse has a pending complaint under subdivision (4) of subsection (b) of this section, an occupational licensing board shall notify the



applicant no later than 30 days following the board receiving written notice of the disposition of the pending complaint.

(c1) Each occupational licensing board shall publish ~~a~~ on its Web-site all the following:

(1) A document that lists the specific criteria or requirements for licensure, registration, or certification by the board, with a description of the criteria or requirements that are satisfied by military training or experience as provided in this section, and any necessary documentation needed for obtaining the credit or satisfying the requirement. ~~The information required by this subsection shall be published on the occupational licensing board's Web site and the Web site of the Department of Military and Veterans Affairs.~~

(2) A document that includes a summary of the opportunities available to veterans and military spouses under this section.

(c2) The Secretary of the Department of Military and Veterans Affairs shall publish on the Department's Web site the information required under subsection (c1) of this section.

(i) For the purposes of this section, the State Board of Education shall be considered an occupational licensing board when issuing teacher licenses under Article 17E of Subchapter V of Chapter 115C of the General Statutes. In addition to the provisions for licensure provided by this section, a local board of education may request a three-year limited license for a military spouse who holds a current teaching license in another jurisdiction pursuant to G.S. 115C-270.20(a)(4a). The State Board of Education shall report the information specified in G.S. 93B-2(a)(9c) and (9d) in accordance with G.S. 93B-2.

...."

SECTION 3.(a) G.S. 93B-2 reads as rewritten:

"§ 93B-2. Annual reports required; contents; open to inspection; sanction for failure to report.

(a) No later than October 31 of each year, each occupational licensing board shall file electronically with the Secretary of State, the Attorney General, and the Joint Legislative Administrative Procedure Oversight Committee an annual report containing all of the following information:

(9c) The number of applicants with military training, the number granted a license, the number denied a license for any reason, and a summary of the reasons for denial. The information provided in accordance with this subdivision shall not disclose any identifying information of any applicant.

(9d) The number of applicants who are military spouses, the number granted a license, the number denied a license for any reason, and a summary of the reasons for denial. The information provided in accordance with this subdivision shall not disclose any identifying information of any applicant.

...

(b1) No later than October 31 of each year, each occupational licensing board shall file electronically with the Secretary of the Department of Military and Veterans Affairs information collected pursuant to G.S. 93B-2(a)(9c) and (9d).

...."

SECTION 3.(b) By October 31, 2021, each occupational licensing board shall include the data specified in G.S. 93B-2(a)(9c) and (9d), as provided for in this act, for fiscal year 2019-2020 in the annual report to the Secretary of State, the Attorney General, and the Joint Legislative Administrative Procedure Oversight Committee, as required by G.S. 93B-2(a).

PART II: INTERSTATE COMPACT FOR AUDIOLOGY AND SPEECH PATHOLOGY

SECTION 4. Chapter 93B of the General Statutes is amended by adding a new Article read:

"Article 2.

"Interstate Compact for Audiology and Speech Pathology.

"93B-17. Purpose.

(a) The purpose of this Compact is to facilitate interstate practice of audiology and speech language pathology with the goal of improving public access to audiology and speech language pathology services. The practice of audiology and speech language pathology occurs in the state where the patient/client/student is located at the time of the patient/client/student encounter. The Compact preserves the regulatory authority of states to protect public health and safety through the current system of state licensure.

This Compact is designed to achieve the following objectives:

- (1) Increase public access to audiology and speech-language pathology services by providing for the mutual recognition of other member state licenses.
- (2) Enhance the states' ability to protect the public's health and safety.
- (3) Encourage the cooperation of member states in regulating multistate audiology and speech-language pathology practice.
- (4) Support spouses of relocating active duty military personnel.
- (5) Enhance the exchange of licensure, investigative and disciplinary information between member states.
- (6) Allow a remote state to hold a provider of services with a compact privilege in that state accountable to that state's practice standards.
- (7) Allow for the use of telehealth technology to facilitate increased access to audiology and speech-language pathology services.

"93B-18. Definitions.

(a) As used in this Compact, and except as otherwise provided, the following definitions shall apply:

- (1) Active duty military. – Full-time duty status in the active uniformed service of the United States, including members of the National Guard and Reserve on active duty orders pursuant to 10 U.S.C. Section 1209 and 1211.
- (2) Adverse action. – Any administrative, civil, equitable or criminal action permitted by a state's laws which is imposed by a licensing board or other authority against an audiologist or speech-language pathologist, including actions against an individual's license or privilege to practice such as revocation, suspension, probation, monitoring of the licensee, or restriction on the licensee's practice.
- (3) Alternative program. – A non-disciplinary monitoring process approved by an audiology or speech-language pathology licensing board to address impaired practitioners.
- (4) Audiologist. – An individual who is licensed by a state to practice audiology.
- (5) Audiology. – The care and services provided by a licensed audiologist as set forth in the member state's statutes and rules.
- (6) Audiology and Speech-Language Pathology Compact Commission. – The national administrative body whose membership consists of all states that have enacted the Compact.
- (7) Audiology and speech-language pathology licensing board. – Unless the context clearly implies otherwise, when used in this Article, "audiology and speech-language pathology licensing board," "audiology licensing board," "speech-language pathology licensing board," or "licensing board" means the

- agency of a state that is responsible for the licensing and regulation of audiologists and/or speech-language pathologists.
- (8) Compact privilege. – The authorization granted by a remote state to allow a licensee from another member state to practice as an audiologist or speech-language pathologist in the remote state under its laws and rules. The practice of audiology or speech-language pathology occurs in the member state where the patient/client/student is located at the time of the patient/client/student encounter.
- (9) Current significant investigative information. – Investigative information that a licensing board, after an inquiry or investigation that includes notification and an opportunity for the audiologist or speech-language pathologist to respond, if required by state law, has reason to believe is not groundless and, if proved true, would indicate more than a minor infraction.
- (10) Data system. – A repository of information about licensees, including, but not limited to, continuing education, examination, licensure, investigative, compact privilege and adverse action.
- (11) Encumbered license. – A license in which an adverse action restricts the practice of audiology or speech-language pathology by the licensee and said adverse action has been reported to the National Practitioners Data Bank (ND).
- (12) Executive Committee. – A group of directors elected or appointed to act on behalf of, and within the powers granted to them by, the Commission.
- (13) Home state. – The member state that is the licensee's primary state of residence.
- (14) Impaired practitioner. – Individuals whose professional practice is adversely affected by substance abuse, addiction, or other health-related conditions.
- (15) Licensee. – An individual who currently holds an authorization from the state licensing board to practice as an audiologist or speech-language pathologist.
- P. "Member state" means a state that has enacted the Compact.
- (16) Privilege to practice. A legal authorization permitting the practice of audiology or speech-language pathology in a remote state.
- (17) Remote state. – A member state other than the home state where a licensee is exercising or seeking to exercise the compact privilege.
- (18) Rule. – A regulation, principle or directive promulgated by the Commission that has the force of law.
- (19) Single-state license. – An audiology or speech-language pathology license issued by a member state that authorizes practice only within the issuing state and does not include a privilege to practice in any other member state.
- (20) Speech-language pathologist. – An individual who is licensed by a state to practice speech-language pathology.
- (21) Speech-language pathology. – The care and services provided by a licensed speech-language pathologist as set forth in the member state's statutes and rules.
- (22) State. – Any state, commonwealth, district or territory of the United States of America that regulates the practice of audiology and speech-language pathology.
- (23) State practice laws. – A member state's laws, rules and regulations that govern the practice of audiology or speech-language pathology, define the scope of audiology or speech-language pathology practice, and create the methods and grounds for imposing discipline.

- (24) Telehealth. –The application of telecommunication technology to deliver audiology or speech-language pathology services at a distance for assessment, intervention and/or consultation.

"93B-19. State Participation in the Compact.

(a) A license issued to an audiologist or speech-language pathologist by a home state to a resident in that state shall be recognized by each member state as authorizing an audiologist or speech-language pathologist to practice audiology or speech-language pathology, under a privilege to practice, in each member state.

(b) A state must implement or utilize procedures for considering the criminal history records of applicants for initial privilege to practice. These procedures shall include the submission of fingerprints or other biometric-based information by applicants for the purpose of obtaining an applicant's criminal history record information from the Federal Bureau of Investigation and the agency responsible for retaining that state's criminal records.

- (1) A member state must fully implement a criminal background check requirement, within a time frame established by rule, by receiving the results of the Federal Bureau of Investigation record search on criminal background checks and use the results in making licensure decisions.

- (2) Communication between a member state, the Commission and among member states regarding the verification of eligibility for licensure through the Compact shall not include any information received from the Federal Bureau of Investigation relating to a federal criminal records check performed by a member state under Public Law 92-544.

(c) Upon application for a privilege to practice, the licensing board in the issuing remote state shall ascertain, through the data system, whether the applicant has ever held, or is the holder of, a license issued by any other state, whether there are any encumbrances on any license or privilege to practice held by the applicant, whether any adverse action has been taken against any license or privilege to practice held by the applicant.

(d) Each member state shall require an applicant to obtain or retain a license in the home state and meet the home state's qualifications for licensure or renewal of licensure, as well as, all other applicable state laws.

- (1) For an audiologist:

a. Must meet one of the following educational requirements:

1. On or before, Dec. 31, 2007, has graduated with a master's degree or doctorate in audiology, or equivalent degree regardless of degree name, from a program that is accredited by an accrediting agency recognized by the Council for Higher Education Accreditation, or its successor, or by the United States Department of Education and operated by a college or university accredited by a regional or national accrediting organization recognized by the board.
2. On or after, Jan. 1, 2008, has graduated with a Doctoral degree in audiology, or equivalent degree, regardless of degree name, from a program that is accredited by an accrediting agency recognized by the Council for Higher Education Accreditation, or its successor, or by the United States Department of Education and operated by a college or university accredited by a regional or national accrediting organization recognized by the board.
3. Has graduated from an audiology program that is housed in an institution of higher education outside of the United States (a) for which the program and institution have been approved by

- the authorized accrediting body in the applicable country and
(b) the degree program has been verified by an independent
credentials review agency to be comparable to a state licensing
board-approved program.
- b. Has completed a supervised clinical practicum experience from an
accredited educational institution, or its cooperating programs as
required by the board.
- c. Has successfully passed a national examination approved by the
Commission.
- d. Holds an active, unencumbered license.
- e. Has not been convicted or found guilty, and has not entered an agreed
disposition, of a felony related to the practice of audiology, under
applicable state or federal criminal law.
- f. Has a valid United States Social Security or National Practitioner
Identification number.
- (2) For a speech-language pathologist:
- a. Must meet one of the following educational requirements:
1. Has graduated with a master's degree from a speech-language
pathology program that is accredited by an organization
recognized by the United States Department of Education and
operated by a college or university accredited by a regional or
national accrediting organization recognized by the board.
2. Has graduated from a speech-language pathology program that
is housed in an institution of higher education outside of the
United States (a) for which the program and institution have
been approved by the authorized accrediting body in the
applicable country and (b) the degree program has been
verified by an independent credentials review agency to be
comparable to a state licensing board-approved program.
- b. Has completed a supervised clinical practicum experience from an
educational institution or its cooperating programs as required by the
Commission.
- c. Has completed a supervised postgraduate professional experience as
required by the Commission.
- d. Has successfully passed a national examination approved by the
Commission.
- e. Holds an active, unencumbered license.
- f. Has not been convicted or found guilty, and has not entered an agreed
disposition, of a felony related to the practice of speech-language
pathology, under applicable state or federal criminal law.
- g. Has a valid United States Social Security or National Practitioner
Identification number.
- (3) The privilege to practice is derived from the home state license.
- (4) An audiologist or speech-language pathologist practicing in a member state
must comply with the state practice laws of the state in which the client is
located at the time service is provided. The practice of audiology and
speech-language pathology shall include all audiology and speech-language
pathology practice as defined by the state practice laws of the member state in
which the client is located. The practice of audiology and speech-language
pathology in a member state under a privilege to practice shall subject an
audiologist or speech-language pathologist to the jurisdiction of the licensing

board, the courts and the laws of the member state in which the client is located at the time service is provided.

(5) Individuals not residing in a member state shall continue to be able to apply for a member state's single-state license as provided under the laws of each member state. However, the single-state license granted to these individuals shall not be recognized as granting the privilege to practice audiology or speech-language pathology in any other member state. Nothing in this Compact shall affect the requirements established by a member state for the issuance of a single-state license.

(6) Member states may charge a fee for granting a compact privilege.

(7) Member states must comply with the bylaws and rules and regulations of the Commission.

"93B-20. Compact Privilege.

(a) To exercise the compact privilege under the terms and provisions of the Compact, the audiologist or speech-language pathologist shall meet all the following:

(1) Hold an active license in the home state.

(2) Have no encumbrance on any state license.

(3) Be eligible for a compact privilege in any member state in accordance with G.S. 93B-19.

(4) Have not had any adverse action against any license or compact privilege within the previous 2 years from date of application.

(5) Notify the Commission that the licensee is seeking the compact privilege within a remote state(s).

(6) Pay any applicable fees, including any state fee, for the compact privilege.

(7) Report to the Commission adverse action taken by any non-member state within 30 days from the date the adverse action is taken.

(b) For the purposes of the compact privilege, an audiologist or speech-language pathologist shall only hold one home state license at a time.

(c) Except as provided in G.S. 93B-22, if an audiologist or speech-language pathologist changes primary state of residence by moving between two-member states, the audiologist or speech-language pathologist must apply for licensure in the new home state, and the license issued by the prior home state shall be deactivated in accordance with applicable rules adopted by the Commission.

(d) The audiologist or speech-language pathologist may apply for licensure in advance of a change in primary state of residence.

(e) A license shall not be issued by the new home state until the audiologist or speech language pathologist provides satisfactory evidence of a change in primary state of residence to the new home state and satisfies all applicable requirements to obtain a license from the new home state.

(f) If an audiologist or speech-language pathologist changes primary state of residence by moving from a member state to a non-member state, the license issued by the prior home state shall convert to a single-state license, valid only in the former home state.

(g) The compact privilege is valid until the expiration date of the home state license. The licensee must comply with the requirements of sub-section (a) to maintain the compact privilege in the remote state.

(h) A licensee providing audiology or speech-language pathology services in a remote state under the compact privilege shall function within the laws and regulations of the remote state.

(i) A licensee providing audiology or speech-language pathology services in a remote state is subject to that state's regulatory authority. A remote state may, in accordance with due process and that state's laws, remove a licensee's compact privilege in the remote state for a

specific period, impose fines, and/or take any other necessary actions to protect the health and safety of its citizens.

(j) If a home state license is encumbered, the licensee shall lose the compact privilege in any remote state until both of the following occur:

(1) The home state license is no longer encumbered.

(2) Two years have elapsed from the date of the adverse action.

(k) Once an encumbered license in the home state is restored to good standing, the licensee must meet the requirements of sub-section (a) to obtain a compact privilege in any remote state.

(l) Once the requirements of sub-section (j) have been met, the licensee must meet the requirements in sub-section (a) to obtain a compact privilege in a remote state.

"93B-21. Compact Privilege to Practice Telehealth.

Member states shall recognize the right of an audiologist or speech-language pathologist, licensed by a home state in accordance with G.S. 93B-19 and under rules promulgated by the Commission, to practice audiology or speech-language pathology in any member state via telehealth under a privilege to practice as provided in the Compact and rules promulgated by the Commission.

"93B-22. Active Duty Military Personnel or Their Spouses.

Active duty military personnel, or their spouse, shall designate a home state where the individual has a current license in good standing. The individual may retain the home state designation during the period the service member is on active duty. Subsequent to designating a home state, the individual shall only change their home state through application for licensure in the new state.

"93B-23. Adverse Actions.

(a) In addition to the other powers conferred by state law, a remote state shall have the authority, in accordance with existing state due process law, to:

(1) Take adverse action against an audiologist's or speech-language pathologist's privilege to practice within that member state.

(2) Issue subpoenas for both hearings and investigations that require the attendance and testimony of witnesses as well as the production of evidence. Subpoenas issued by a licensing board in a member state for the attendance and testimony of witnesses or the production of evidence from another member state shall be enforced in the latter state by any court of competent jurisdiction, according to the practice and procedure of that court applicable to subpoenas issued in proceedings pending before it. The issuing authority shall pay any witness fees, travel expenses, mileage and other fees required by the service statutes of the state in which the witnesses or evidence are located.

(3) Only the home state shall have the power to take adverse action against an audiologist's or speech-language pathologist's license issued by the home state.

(b) For purposes of taking adverse action, the home state shall give the same priority and effect to reported conduct received from a member state as it would if the conduct had occurred within the home state. In so doing, the home state shall apply its own state laws to determine appropriate action.

(c) The home state shall complete any pending investigations of an audiologist or speech language pathologist who changes primary state of residence during the investigations. The home state shall also have the authority to take appropriate action(s) and shall promptly report the conclusions of the investigations to the administrator of the data system. The administrator of the coordinated licensure information system shall promptly notify the new home state of any adverse actions.

1 (d) If otherwise permitted by state law, recover from the affected audiologist or speech
2 language pathologist the costs of investigations and disposition of cases resulting from any
3 adverse action taken against that audiologist or speech-language pathologist.

4 (e) Take adverse action based on the factual findings of the remote state, provided that
5 the home state follows its own procedures for taking the adverse action.

6 (f) Joint Investigations.

7 (1) In addition to the authority granted to a member state by its respective
8 audiology or speech-language pathology practice act or other applicable state
9 law, any member state may participate with other member states in joint
10 investigations of licensees.

11 (2) Member states shall share any investigative, litigation, or compliance
12 materials in furtherance of any joint or individual investigation initiated under
13 the Compact.

14 (g) If adverse action is taken by the home state against an audiologist's or speech language
15 pathologist's license, the audiologist's or speech-language pathologist's privilege to practice in all
16 other member states shall be deactivated until all encumbrances have been removed from the
17 state license. All home state disciplinary orders that impose adverse action against an
18 audiologist's or speech language pathologist's license shall include a statement that the
19 audiologist's or speech-language pathologist's privilege to practice is deactivated in all member
20 states during the pendency of the order.

21 (h) If a member state takes adverse action, it shall promptly notify the administrator of
22 the data system. The administrator of the data system shall promptly notify the home state of any
23 adverse actions by remote states.

24 (i) Nothing in this Compact shall override a member state's decision that participation in
25 an alternative program may be used in lieu of adverse action.

26 **"93B-24. Establishment of the Audiology and the Speech-Language Pathology Compact**
27 **Commission.**

28 (a) The Compact member states hereby create and establish a joint public agency known
29 as the Audiology and Speech-Language Pathology Compact Commission:

30 (1) The Commission is an instrumentality of the Compact states.

31 (2) Venue is proper and judicial proceedings by or against the Commission shall
32 be brought solely and exclusively in a court of competent jurisdiction where
33 the principal office of the Commission is located. The Commission may waive
34 venue and jurisdictional defenses to the extent it adopts or consents to
35 participate in alternative dispute resolution proceedings.

36 (3) Nothing in this Compact shall be construed to be a waiver of sovereign
37 immunity.

38 (b) Membership, Voting and Meetings.

39 (1) Each member state shall have two (2) delegates selected by that member
40 state's licensing board. The delegates shall be current members of the licensing
41 board. One shall be an audiologist and one shall be a speech-language
42 pathologist.

43 (2) An additional five (5) delegates, who are either a public member or board
44 administrator from a state licensing board, shall be chosen by the Executive
45 Committee from a pool of nominees provided by the Commission at Large.

46 (3) Any delegate may be removed or suspended from office as provided by the
47 law of the state from which the delegate is appointed.

48 (4) The member state board shall fill any vacancy occurring on the Commission,
49 within 90 days.

- (5) Each delegate shall be entitled to one (1) vote about the promulgation of rules and creation of by-laws and shall otherwise have an opportunity to participate in the business and affairs of the Commission.
- (6) A delegate shall vote in person or by other means as provided in the bylaws. The bylaws may provide for delegates' participation in meetings by telephone or other means of communication.
- (7) The Commission shall meet at least once during each calendar year. Additional meetings shall be held as set forth in the by-laws.
- (c) The Commission shall have the following powers and duties:
- (1) Establish the fiscal year of the Commission.
- (2) Establish by-laws.
- (3) Establish a Code of Ethics.
- (4) Maintain its financial records in accordance with the by-laws.
- (5) Meet and take actions as are consistent with the provisions of this Compact and the by-laws.
- (6) Promulgate uniform rules to facilitate and coordinate implementation and administration of this Compact. The rules shall have the force and effect of law and shall be binding in all member states.
- (7) Bring and prosecute legal proceedings or actions in the name of the Commission, provided that the standing of any state audiology or speech-language pathology licensing board to sue or be sued under applicable law shall not be affected.
- (8) Purchase and maintain insurance and bonds.
- (9) Borrow, accept, or contract for services of personnel, including, but not limited to, employees of a member state.
- (10) Hire employees, elect or appoint officers, fix compensation, define duties, grant individual's appropriate authority to carry out the purposes of the Compact, and to establish the Commission's personnel policies and programs relating to conflicts of interest, qualifications of personnel, and other related personnel matters.
- (11) Accept any and all appropriate donations and grants of money, equipment, supplies, materials and services, and to receive, utilize and dispose of the same; provided that always the Commission shall avoid any appearance of impropriety and/or conflict of interest.
- (12) Lease, purchase, accept appropriate gifts or donations of, or otherwise to own, hold, improve or use, any property, real, personal or mixed; provided that at all times the Commission shall avoid any appearance of impropriety.
- (13) Sell convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of any property real, personal, or mixed.
- (14) Establish a budget and make expenditures.
- (15) Borrow money.
- (16) Appoint committees, including standing committees composed of members, and other interested persons as may be designated in this Compact and the by-laws.
- (17) Provide and receive information from, and cooperate with, law enforcement agencies.
- (18) Establish and elect an Executive Committee.
- (19) Perform other functions as may be necessary or appropriate to achieve the purposes of this Compact consistent with the state regulation of audiology and speech language pathology licensure and practice.

- 1 (d) The Executive Committee. The executive committee shall have the power to act on
2 behalf of the Commission according to the terms of this Compact:
- 3 (1) The Executive Committee shall be composed of ten (10) members:
4 a. Seven (7) voting members who are elected by the Commission from
5 the current membership of the Commission.
6 b. Two (2) ex-officios, consisting of one nonvoting member from a
7 recognized national audiology professional association and one
8 nonvoting member from a recognized national speech-language
9 pathology association.
10 c. One (1) ex-officio, nonvoting member from the recognized
11 membership organization of the audiology and speech-language
12 pathology licensing boards.
- 13 (e) The ex-officio members shall be selected by their respective organizations.
14 (1) The Commission may remove any member of the Executive Committee as
15 provided in bylaws.
16 (2) The Executive Committee shall meet at least annually.
17 (3) The Executive Committee shall have the following duties and responsibilities:
18 a. Recommend to the entire Commission changes to the rules or bylaws,
19 changes to this Compact legislation, fees paid by Compact member
20 states such as annual dues, and any commission Compact fee charged
21 to licensees for the compact privilege.
22 b. Ensure Compact administration services are appropriately provided,
23 contractual or otherwise.
24 c. Prepare and recommend the budget.
25 d. Maintain financial records on behalf of the Commission.
26 e. Monitor Compact compliance of member states and provide
27 compliance reports to the Commission.
28 f. Establish additional committees as necessary.
29 g. Other duties as provided in rules or bylaws.
- 30 (f) All meetings shall be open to the public, and public notice of meetings shall be given
31 in the same manner as required under the rulemaking provisions in G.S. 93B-26.
- 32 (g) The Commission or the Executive Committee or other committees of the Commission
33 may convene in a closed, non-public meeting if the Commission or Executive Committee or other
34 committees of the Commission must discuss:
- 35 (1) Non-compliance of a member state with its obligations under the Compact.
36 (2) The employment, compensation, discipline or other matters, practices or
37 procedures related to specific employees or other matters related to the
38 Commission's internal personnel practices and procedures.
39 (3) Current, threatened, or reasonably anticipated litigation.
40 (4) Negotiation of contracts for the purchase, lease, or sale of goods, services, or
41 real estate.
42 (5) Accusing any person of a crime or formally censuring any person.
43 (6) Disclosure of trade secrets or commercial or financial information that is
44 privileged or confidential.
45 (7) Disclosure of information of a personal nature where disclosure would
46 constitute a clearly unwarranted invasion of personal privacy.
47 (8) Disclosure of investigative records compiled for law enforcement purposes.
48 (9) Disclosure of information related to any investigative reports prepared by or
49 on behalf of or for use of the Commission or other committee charged with
50 responsibility of investigation or determination of compliance issues pursuant
51 to the Compact.

- 1 (10) Matters specifically exempted from disclosure by federal or member state
2 statute.
- 3 (h) If a meeting, or portion of a meeting, is closed pursuant to this provision, the
4 Commission's legal counsel or designee shall certify that the meeting may be closed and shall
5 reference each relevant exempting provision.
- 6 (i) The Commission shall keep minutes that fully and clearly describe all matters
7 discussed in a meeting and shall provide a full and accurate summary of actions taken, and the
8 reasons therefore, including a description of the views expressed. All documents considered in
9 connection with an action shall be identified in minutes. All minutes and documents of a closed
10 meeting shall remain under seal, subject to release by a majority vote of the Commission or order
11 of a court of competent jurisdiction.
- 12 (j) Financing of the Commission.
- 13 (1) The Commission shall pay, or provide for the payment of, the reasonable
14 expenses of its establishment, organization, and ongoing activities.
- 15 (2) The Commission may accept any and all appropriate revenue sources,
16 donations, and grants of money, equipment, supplies, materials, and services.
- 17 (3) The Commission may levy on and collect an annual assessment from each
18 member state or impose fees on other parties to cover the cost of the operations
19 and activities of the Commission and its staff, which must be in a total amount
20 sufficient to cover its annual budget as approved each year for which revenue
21 is not provided by other sources. The aggregate annual assessment amount
22 shall be allocated based upon a formula to be determined by the Commission,
23 which shall promulgate a rule binding upon all member states.
- 24 (k) The Commission shall not incur obligations of any kind prior to securing the funds
25 adequate to meet the same; nor shall the Commission pledge the credit of any of the member
26 states, except by and with the authority of the member state.
- 27 (l) The Commission shall keep accurate accounts of all receipts and disbursements. The
28 receipts and disbursements of the Commission shall be subject to the audit and accounting
29 procedures established under its bylaws. However, all receipts and disbursements of funds
30 handled by the Commission shall be audited yearly by a certified or licensed public accountant,
31 and the report of the audit shall be included in and become part of the annual report of the
32 Commission.
- 33 (m) Qualified Immunity, Defense, and Indemnification.
- 34 (1) The members, officers, executive director, employees and representatives of
35 the Commission shall be immune from suit and liability, either personally or
36 in their official capacity, for any claim for damage to or loss of property or
37 personal injury or other civil liability caused by or arising out of any actual or
38 alleged act, error or omission that occurred, or that the person against whom
39 the claim is made had a reasonable basis for believing occurred within the
40 scope of Commission employment, duties or responsibilities; provided that
41 nothing in this paragraph shall be construed to protect any person from suit
42 and/or liability for any damage, loss, injury, or liability caused by the
43 intentional or willful or wanton misconduct of that person.
- 44 (2) The Commission shall defend any member, officer, executive director,
45 employee or representative of the Commission in any civil action seeking to
46 impose liability arising out of any actual or alleged act, error, or omission that
47 occurred within the scope of Commission employment, duties, or
48 responsibilities, or that the person against whom the claim is made had a
49 reasonable basis for believing occurred within the scope of Commission
50 employment, duties, or responsibilities; provided that nothing herein shall be
51 construed to prohibit that person from retaining his or her own counsel; and

provided further, that the actual or alleged act, error, or omission did not result from that person's intentional or willful or wanton misconduct.

- (3) The Commission shall indemnify and hold harmless any member, officer, executive director, employee, or representative of the Commission for the amount of any settlement or judgment obtained against that person arising out of any actual or alleged act, error or omission that occurred within the scope of Commission employment, duties, or responsibilities, or that person had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities, provided that the actual or alleged act, error, or omission did not result from the intentional or willful or wanton misconduct of that person.

"93B-25. Data System.

(a) The Commission shall provide for the development, maintenance, and utilization of a coordinated database and reporting system containing licensure, adverse action, and investigative information on all licensed individuals in member states.

(b) Notwithstanding any other provision of state law to the contrary, a member state shall submit a uniform data set to the data system on all individuals to whom this Compact is applicable as required by the rules of the Commission, including:

- (1) Identifying information.
- (2) Licensure data.
- (3) Adverse actions against a license or compact privilege.
- (4) Non-confidential information related to alternative program participation.
- (5) Any denial of application for licensure, and the reason(s) for denial.
- (6) Other information that may facilitate the administration of this Compact, as determined by the rules of the Commission.

(c) Investigative information pertaining to a licensee in any member state shall only be available to other member states.

(d) The Commission shall promptly notify all member states of any adverse action taken against a licensee or an individual applying for a license. Adverse action information pertaining to a licensee in any member state shall be available to any other member state.

(e) Member states contributing information to the data system may designate information that may not be shared with the public without the express permission of the contributing state.

(f) Any information submitted to the data system that is subsequently required to be expunged by the laws of the member state contributing the information shall be removed from the data system.

"93B-26. Rulemaking.

(a) The Commission shall exercise its rulemaking powers pursuant to the criteria set forth in this section and the rules adopted thereunder. Rules and amendments shall become binding as of the date specified in each rule or amendment.

(b) If a majority of the legislatures of the member states rejects a rule, by enactment of a statute or resolution in the same manner used to adopt the Compact within 4 years of the date of adoption of the rule, the rule shall have no further force and effect in any member state.

(c) Rules or amendments to the rules shall be adopted at a regular or special meeting of the Commission.

(d) Prior to promulgation and adoption of a final rule or rules by the Commission, and at least thirty (30) days in advance of the meeting at which the rule shall be considered and voted upon, the Commission shall file a Notice of Proposed Rulemaking:

- (1) On the website of the Commission or other publicly accessible platform.
- (2) On the website of each member state audiology or speech-language pathology licensing board or other publicly accessible platform or the publication in which each state would otherwise publish proposed rules.

(e) The Notice of Proposed Rulemaking shall include:

- (1) The proposed time, date, and location of the meeting in which the rule shall be considered and voted upon.
- (2) The text of the proposed rule or amendment and the reason for the proposed rule.
- (3) A request for comments on the proposed rule from any interested person.
- (4) The manner in which interested persons may submit notice to the Commission of their intention to attend the public hearing and any written comments.

(f) Prior to the adoption of a proposed rule, the Commission shall allow persons to submit written data, facts, opinions and arguments, which shall be made available to the public.

(g) The Commission shall grant an opportunity for a public hearing before it adopts a rule or amendment if a hearing is requested by any of the following:

- (1) At least twenty-five (25) persons.
- (2) A state or federal governmental subdivision or agency.
- (3) An association having at least twenty-five (25) members.

(h) If a hearing is held on the proposed rule or amendment, the Commission shall publish the place, time, and date of the scheduled public hearing. If the hearing is held via electronic means, the Commission shall publish the mechanism for access to the electronic hearing.

- (1) All persons wishing to be heard at the hearing shall notify the executive director of the Commission or other designated member in writing of their desire to appear and testify at the hearing not less than five (5) business days before the scheduled date of the hearing.
- (2) Hearings shall be conducted in a manner providing each person who wishes to comment a fair and reasonable opportunity to comment orally or in writing.
- (3) All hearings shall be recorded. A copy of the recording shall be made available on request.
- (4) Nothing in this section shall be construed as requiring a separate hearing on each rule. Rules may be grouped for the convenience of the Commission at hearings required by this section.

(i) Following the scheduled hearing date, or by the close of business on the scheduled hearing date if the hearing was not held, the Commission shall consider all written and oral comments received. If no written notice of intent to attend the public hearing by interested parties is received, the Commission may proceed with promulgation of the proposed rule without a public hearing. The Commission shall, by majority vote of all members, take final action on the proposed rule and shall determine the effective date of the rule, if any, based on the rulemaking record and the full text of the rule.

(j) Upon determination that an emergency exists, the Commission may consider and adopt an emergency rule without prior notice, opportunity for comment, or hearing, provided that the usual rulemaking procedures provided in the Compact and in this section shall be retroactively applied to the rule as soon as reasonably possible, in no event later than ninety (90) days after the effective date of the rule. For the purposes of this provision, an emergency rule is one that must be adopted immediately in order to:

- (1) Meet an imminent threat to public health, safety, or welfare.
- (2) Prevent a loss of Commission or member state funds.
- (3) Meet a deadline for the promulgation of an administrative rule that is established by federal law or rule.

(k) The Commission or an authorized committee of the Commission may direct revisions to a previously adopted rule or amendment for purposes of correcting typographical errors, errors in format, errors in consistency, or grammatical errors. Public notice of any revisions shall be posted on the website of the Commission. The revision shall be subject to challenge by any person for a period of thirty (30) days after posting. The revision may be challenged only on

grounds that the revision results in a material change to a rule. A challenge shall be made in writing and delivered to the chair of the Commission prior to the end of the notice period. If no challenge is made, the revision shall take effect without further action. If the revision is challenged, the revision may not take effect without the approval of the Commission.

"93B-27. Oversight, Dispute Resolution, and Enforcement.

(a) Dispute Resolution. Upon request by a member state, the Commission shall attempt to resolve disputes related to the Compact that arise among member states and between member and non-member states. The Commission shall promulgate a rule providing for both mediation and binding dispute resolution for disputes as appropriate.

(b) Enforcement. The Commission, in the reasonable exercise of its discretion, shall enforce the provisions and rules of this Compact. By majority vote, the Commission may initiate legal action in the United States District Court for the District of Columbia or the federal district where the Commission has its principal offices against a member state in default to enforce compliance with the provisions of the Compact and its promulgated rules and bylaws. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing member shall be awarded all costs of litigation, including reasonable attorney's fees. The remedies herein shall not be the exclusive remedies of the Commission. The Commission may pursue any other remedies available under federal or state law.

"93B-28. Date of Implementation of Compact and Associated Rules, Withdrawal, and Amendment.

(a) The Compact shall come into effect on the date on which the Compact statute is enacted into law in the 10th member state. The provisions, which become effective at that time, shall be limited to the powers granted to the Commission relating to assembly and the promulgation of rules. Thereafter, the Commission shall meet and exercise rulemaking powers necessary to the implementation and administration of the Compact.

(b) Any state that joins the Compact subsequent to the Commission's initial adoption of the rules shall be subject to the rules as they exist on the date on which the Compact becomes law in that state. Any rule that has been previously adopted by the Commission shall have the full force and effect of law on the day the Compact becomes law in that state.

(c) Any member state may withdraw from this Compact by enacting a statute repealing the same.

(1) A member state's withdrawal shall not take effect until six (6) months after enactment of the repealing statute.

(2) Withdrawal shall not affect the continuing requirement of the withdrawing state's audiology or speech-language pathology licensing board to comply with the investigative and adverse action reporting requirements of this act prior to the effective date of withdrawal.

(d) Nothing contained in this Compact shall be construed to invalidate or prevent any audiology or speech-language pathology licensure agreement or other cooperative arrangement between a member state and a non-member state that does not conflict with the provisions of this Compact.

(e) This Compact may be amended by the member states. No amendment to this Compact shall become effective and binding upon any member state until it is enacted into the laws of all member states.

"93B-29. Construction and Severability.

This Compact shall be liberally construed to effectuate the purposes thereof. The provisions of this Compact shall be severable and if any phrase, clause, sentence or provision of this Compact is declared to be contrary to the constitution of any member state or of the United States or the applicability thereof to any government, agency, person or circumstance is held invalid, the validity of the remainder of this Compact and the applicability thereof to any government, agency, person or circumstance shall not be affected thereby. If this Compact shall

1 be held contrary to the constitution of any member state, the Compact shall remain in full force
2 and effect as to the remaining member states and in full force and effect as to the member state
3 affected as to all severable matters.

4 **"93B-20. Binding Effect of Compact on Other Laws.**

5 (a) Nothing herein prevents the enforcement of any other law of a member state that is
6 not inconsistent with the Compact.

7 (b) All laws in a member state in conflict with the Compact are superseded to the extent
8 of the conflict.

9 (c) All lawful actions of the Commission, including all rules and bylaws promulgated by
10 the Commission, are binding upon the member states.

11 (d) All agreements between the Commission and the member states are binding in
12 accordance with their terms.

13 (e) In the event any provision of the Compact exceeds the constitutional limits imposed
14 on the legislature of any member state, the provision shall be ineffective to the extent of the
15 conflict with the constitutional provision in question in that member state."

16 **SECTION 5.** Part I of this act becomes effective December 1, 2020, and applies to
17 applications for licensure received on or after that date. Part II of this act becomes effective when
18 it becomes law.